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# BOUNDARY NONLINEARITIES FOR A ONE-DIMENSIONAL *p*-LAPLACIAN LIKE EQUATION

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ABSTRACT. We study a nonlinear ordinary second order vector equation of p-Laplacian type under nonlinear boundary conditions. Applying Leray-Schauder arguments we obtain solutions under appropriate conditions. Moreover, for the scalar case we prove the existence of at least one periodic solution of the problem applying the method of upper and lower solutions.

### INTRODUCTION

We consider a nonlinear one-dimensional problem for a vector function  $u:[0,T] \to \mathbb{R}^N$  satisfying

(1) 
$$(\phi(u'))' = f(t, u, u')$$
 in  $(0, T)$ 

where  $\phi : \mathbb{R}^N \to \mathbb{R}^N$  is a homeomorphism.

We study problem (1) under the following nonlinear boundary conditions:

(NBC) 
$$u(0) = h_1(u(T), u'(T)), \qquad u'(0) = h_2(u(T), u'(T))$$

with  $h_i : \mathbb{R}^{2N} \longrightarrow \mathbb{R}^N$  continuous.

Nonlinear boundary conditions for systems of semilinear ODE's have been considered by different authors (see e.g. [BL], [E], [KL], [S], [AMP] and [BL2] for more references). Using coincidence degree, Gaines and Mawhin [GM] proved a continuation theorem for a general nonlinear condition which in the scalar second order case reads

$$\gamma(u) = 0$$

where  $\gamma: C^1([0,T],\mathbb{R}) \to \mathbb{R}^2$  is continuous and takes bounded sets into bounded sets. The particular case

$$\gamma(u) = h(u(0), u(T), u'(0), u'(T)),$$

corresponds to the general nonlinear two-point condition. Explicit results are known for the special case

$$h(u) = \left(h_1(u(0), u'(0)), h_2(u(T), u'(T))\right)$$

which appears in different physical models, as a second order analogue for the axial deformation of a nonlinear elastic beam [RS]. On the other hand, problems involving a p-Laplacian have been widely studied for example in [DJM], [MM], [M].

## SOME REMARKS ON THE GENERAL CASE

For  $M = (M_1, M_2)$  define:

$$h_{1,M} = \sup_{\substack{|u| \le M_1, |\widetilde{u}| \le M_2}} |h_1(u, \widetilde{u})|,$$
  

$$h_{2,M} = \sup_{\substack{|u| \le M_1, |\widetilde{u}| \le M_2}} |\phi(h_2(u, \widetilde{u}))|,$$
  

$$m_{\phi}(M_2) = \inf_{\substack{|\widetilde{u}| = M_2}} |\phi(\widetilde{u})|$$

and

$$\mathcal{R}_M = \{ X \in C([0,T], \mathbb{R}^{2N}) : \|x_1\|_{\infty} \le M_1, \|x_2\|_{\infty} \le m_{\phi}(M_2) \}.$$

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We shall assume that f is a Caratheodory function i.e.  $f(\cdot, u, \tilde{u})$  is measurable for any fixed  $(u, \tilde{u})$ , and for any M there exists  $\rho_M \in L^1(0, T)$  such that

 $|f(t, u, \widetilde{u})| \le \rho_M$  a.e. for any  $|u| \le M_1, |\widetilde{u}| \le M_2$ .

**Theorem 1.** Let  $M_1, M_2 > 0$  and assume that

 $h_{1,M} + TM_2 \leq M_1,$   $h_{2,M} + \|\rho_M\|_{L^1} \leq m_{\phi}(M_2)$ Then (1)-(NBC) admits at least one solution u with  $(u, \phi(u')) \in \mathcal{R}_M.$ **Proof.** Let us consider the equivalent system for  $X = (u, \phi(u'))$ :

$$\left\{ \begin{array}{ll} X' = F(t,X) & \text{in} \quad (0,T) \\ X(0) = H(X(T)) \end{array} \right.$$

where

$$F(t, x_1, x_2) = \left(\phi^{-1}(x_2), f(t, x_1, \phi^{-1}(x_2))\right)$$

and

$$H(x_1, x_2) = \left(h_1(x_1, \phi^{-1}(x_2)), \phi[h_2(x_1, \phi^{-1}(x_2))]\right).$$

Next, define the operator  $\mathcal{N}: C([0,T],\mathbb{R}^{2N}) \to C([0,T],\mathbb{R}^{2N})$  given by

$$\mathcal{N}X(t) = H(X(T)) + \int_0^t F(s, X).$$

By Arzelá-Ascoli Theorem,  $\mathcal{N}$  is compact. Moreover, for  $X \in \mathcal{R}_M$  we have:  $\|(\mathcal{N}X)_1\|_{\infty} \leq |h_1(x_1(T), \phi^{-1}(x_2(T)))| + T \sup_{t \in [0,T]} |\phi^{-1}(x_2(t))|$ 

$$\|(\mathcal{N}X)_2\|_{\infty} \le |\phi(h_2(x_1(T),\phi^{-1}(x_2(T))))| + \int_0^T |f(\cdot,x_1,\phi^{-1}(x_2))|.$$

As  $||x_2||_{\infty} \leq m_{\phi}(M_2)$ , it is clear that  $|\phi^{-1}(x_2)| \leq M_2$ , and then

$$\|(\mathcal{N}X)_1\|_{\infty} \le h_{1,M} + TM_2, \qquad \|(\mathcal{N}X)_2\|_{\infty} \le h_{2,M} + \|\rho_M\|_{L^1}.$$

Hence,  $\mathcal{N}(\mathcal{R}_M) \subset \mathcal{R}_M$  and by Schauder's Theorem  $\mathcal{N}$  has a fixed point X. Then  $u = x_1$  is a solution of (1)-(NBC).

**Theorem 2.** Under the hypothesis of the previous theorem, assume also the Lipschitztype conditions for  $(u, \tilde{u}), (v, \tilde{v}) \in \mathcal{R}_M$ :

(H1) 
$$|h_1(u,\phi^{-1}(\widetilde{u})) - h_1(v,\phi^{-1}(\widetilde{v}))| \le k_1 |(u-v,\widetilde{u}-\widetilde{v})|$$

(H2) 
$$|\phi(h_2(u,\phi^{-1}(\widetilde{u}))) - \phi(h_2(v,\phi^{-1}(\widetilde{v})))| \le k_2 |(u-v,\widetilde{u}-\widetilde{v})|$$

(F) 
$$|f(t, u, \phi^{-1}(\widetilde{u})) - f(t, v, \phi^{-1}(\widetilde{v}))| \le k_f |(u - v, \widetilde{u} - \widetilde{v})|$$

$$(\Phi) \qquad \qquad |\phi^{-1}(\widetilde{u}) - \phi^{-1}(\widetilde{v})| \le k_{\phi} |(\widetilde{u} - \widetilde{v})|$$

with

$$k_1 + Tk_\phi < 1,$$
  $k_2 + Tk_f < 1.$ 

Then (1)-(NBC) admits a unique solution in  $\mathcal{R}_M$ .

**Proof.** In the situation of Theorem 1, using conditions (H1)-(H2)-(F)-( $\Phi$ ) it is immediate to prove that N is a contraction.

As a simple corollary, we deduce the existence of solutions under appropriate growth conditions on f and  $h_i$ :

Corollary 3. Let us assume that

$$|h_1(u,\widetilde{u})| \le \psi_{1,1}(|u|) + \psi_{1,2}(|\widetilde{u}|),$$

 $|\phi(h_2(u,\tilde{u}))| \le \psi_{2,1}(|u|) + \psi_{2,2}(|\tilde{u}|)$ 

and

$$\|\rho_M\|_{L^1} \le \psi_{f,1}(M_1) + \psi_{f,2}(M_2)$$

for any  $t \in [0,T]$ , with  $\psi_{i,j}$  positive and nondecreasing. Further, assume that the following conditions hold:

$$\limsup_{r \to \infty} \frac{\psi_{1,1}(r)}{r} = A < 1$$

and

$$\psi_{2,1} + \psi_{f,1} \left[ \left( \frac{\psi_{1,2}(r) + rT}{1 - A} \right) + [\psi_{2,2} + \psi_{f,2}](r) < m_{\phi}(r) \right]$$

for r large. Then (1)-(NBC) admits at least one solution.

**Proof.** With the previous notations, set  $M_1 = \frac{\psi_{1,2}(M_2) + TM_2}{1-A} + \varepsilon$  and then, for  $M_2$  large and  $\varepsilon > 0$  sufficiently small conditions of Theorem 1 are fulfilled.

### A PARTICULAR CASE

In this section we study the following particular case of (1)-(NBC):

(2) 
$$\begin{cases} (\phi(u'))' + a(t)\phi(u') + g(t,u) = f(t) & \text{in} \\ u(0) = h_1(u(T)), & u'(0) = h_2(u'(T)) \end{cases}$$

with g bounded and  $a \in C([0,T], \mathbb{R}^{n \times n})$ . For example, when n = 1 we may consider the forced pendulum equation with friction for a p-Laplacian:

$$\begin{cases} (|u'|^{p-2}u')' + |u'|^{p-2}u' + b(t)\sin_p(u) = f(t) & \text{in} \quad (0,T) \\ u(0) = h_1(u(T)), & u'(0) = h_2(u'(T)) \end{cases}$$

We recall that  $\sin_p$  is defined as the solution of the initial value problem

$$(|u'|^{p-2}u')' + (p-1)|u|^{p-2}u = 0,$$
  $u(0) = 0,$   $u'(0) = 1,$ 

or implicitly by the formula

$$t = \int_0^{\sin_p t} \frac{ds}{(1 - s^p)^{1/p}}$$

for  $t \in [0, \frac{\pi_p}{2}]$  and extended to a  $2\pi_p$ -periodic function, where  $\pi_p$  is the constant given by

$$\pi_p = 2 \int_0^1 \frac{ds}{(1-s^p)^{1/p}}.$$

For simplicity we introduce the following notation: for any  $\sigma \in [0, 1]$  let  $U_{\sigma}$  be the unique solution of the matricial equation

$$U' = -\sigma a U,$$
  $U(0) = Id \in \mathbb{R}^{n \times n}.$ 

Moreover, we define

$$L(\sigma) = \limsup_{|x| \to \infty} \frac{\sigma h_2(U_\sigma(T)x)x}{|x|^2}$$

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where  $\hat{h}_2 = \phi^{-1} h_2 \phi$ . Then we have:

**Theorem 4.** Assume that

$$\limsup_{|x| \to \infty} \frac{h_1(x)x}{|x|^2} < 1$$

and that

$$\sup_{0 \le \sigma \le 1} L(\sigma) < 1$$

Then (2) admits at least one solution for any bounded g.

**Proof.** As before, let us consider  $X = (u, \phi(u'))$  and the compact operator  $\mathcal{N}X(t) = H(X(T)) + \int_0^t F(s, X)$ . Then, if  $X = \sigma \mathcal{N}X$  for  $0 < \sigma \leq 1$ , we obtain:

$$x_1' = \sigma \phi^{-1}(x_2), \qquad x_1(0) = \sigma h_1(x(T))$$
$$x_2' = \sigma(f - ax_2 - g(\cdot, x_1)), \qquad x_2(0) = \sigma \hat{h}_2(x(T))$$

Hence,

$$x_2(t) = U_\sigma(t)c(t),$$

with

$$c(t) = c_0 + \sigma \int_0^t U_{\sigma}^{-1}(s) [f(s) - g(s, x_1)] ds$$

Then

$$c_0 = x_2(0) = \sigma \hat{h}_2(U_\sigma(T)[c_0 + R_\sigma])$$
  
where  $R_\sigma = \sigma \int_0^T U_\sigma^{-1}(s)[f(s) - g(s, x_1)]ds$  is bounded. It follows that

$$|c_0|^2 + c_0 R_{\sigma} = \sigma \hat{h}_2 (U_{\sigma}(T)[c_0 + R_{\sigma}])(c_0 + R_{\sigma}) \le (1 - \delta)(c_0 + R_{\sigma})^2$$

for some  $\delta > 0$  if  $|c_0|$  is large enough. Thus  $c_0$  (and hence  $x_2$ ) is bounded. On the other hand, from the equality

$$x_1(t) = c_1 + \sigma \int_0^t \phi^{-1}(x_2), \qquad x_1(0) = \sigma h_1(x_1(T))$$

we obtain that  $c_1 = \sigma h_1(c_1 + S_{\sigma})$  where  $S_{\sigma} = \sigma \int_0^T \phi^{-1}(x_2)$  is bounded. As before,

$$|c_1|^2 + c_1 S_{\sigma} \le (1 - \delta)(c_1 + S_{\sigma})^2$$

and we conclude that  $c_1$  (and hence  $x_1$ ) is bounded. Thus, the result follows from Leray-Schauder Theorem.

Remark . In particular, for the scalar case it suffices to assume that

$$\limsup_{|x| \to \infty} \frac{h_1(x)}{x} < 1$$

and

$$\limsup_{|x| \to \infty} \frac{\widehat{h}_2(x)}{x} = L < \begin{cases} e \int_0^T a & \text{if } \int_0^T a \le 1 \\ e^{\int_0^T a} & \text{otherwise.} \end{cases}$$

Indeed, it suffices to observe that in this case  $U_{\sigma}(t) = e^{-\sigma \int_{0}^{t} a}$ , and letting  $y = e^{-\sigma \int_{0}^{T} a} x$  we obtain:

$$\frac{\sigma \widehat{h}_2 \left( e^{-\sigma \int_0^T a} x \right)}{x} = \sigma e^{-\sigma \int_0^T a} \frac{\widehat{h}_2(y)}{y}$$

A simple computation shows that

$$\max_{0 \le \sigma \le 1} \sigma e^{-\sigma \int_0^T a} = \begin{cases} \frac{1}{e \int_0^T a} & \text{if } \int_0^T a \le 1\\ e^{-\int_0^T a} & \text{otherwise} \end{cases}$$

and the proof follows.

# SCALAR CASE: UPPER AND LOWER SOLUTIONS FOR THE PE-RIODIC PROBLEM

In this section we apply the method of upper and lower solutions in order to obtain solutions for the scalar case of (2) when a = 0,  $h_1 = h_2 = id$ , and g is not necessarily bounded. We shall need the following auxiliary lemmas:

**Lemma 5.** Assume that  $\phi$  is nondecreasing and let  $\lambda > 0$ . Then for any  $\theta \in C([0,T])$  the equation

$$(\phi(u'))' - \lambda u = \theta(t)$$

admits a unique T-periodic solution. Furthermore, the mapping  $\mathcal{T} : C([0,T]) \rightarrow C([0,T])$  given by  $\mathcal{T}(\theta) = u$  is compact.

**Proof.** Let us first note that for any  $\xi \in C([0,T])$  there exists a unique  $c = c(\xi) \in \mathbb{R}$  such that

$$\int_0^T \phi^{-1} \big( c + \xi(t) \big) dt = 0$$

Furthermore, the mapping  $\xi \to c(\xi)$  is compact. Indeed, existence and uniqueness follow immediately from the fact that  $\phi$  is strictly monotone and that  $\phi(x) \to \pm \infty$  as  $x \to \pm \infty$ . On the other hand, if  $M > \|\xi\|_{\infty}$  then

$$\int_{0}^{T} \phi^{-1} \left( \phi(0) + M + \xi \right) > 0 > \int_{0}^{T} \phi^{-1} \left( \phi(0) - M + \xi \right)$$

and hence  $c(\xi) \in [\phi(0) - \|\xi\|_{\infty}, \phi(0) + \|\xi\|_{\infty}]$ . Finally, note that if  $\xi_n \to \xi$  uniformly, taking a subsequence we may assume that  $c(\xi_n) \to c$  for some c. Thus,

$$0 = \int_0^T \phi^{-1} (c(\xi_n) + \xi_n(t)) dt \to \int_0^T \phi^{-1} (c + \xi(t)) dt,$$

and by uniqueness it follows that  $c(\xi) = c$ . For  $u \in C([0,T])$  let  $\xi_u(t) = \int_0^t (\lambda u + \theta)$  and define, for fixed  $s \in \mathbb{R}$ ,

$$\mathcal{T}u(t) = s + \int_0^t \phi^{-1}(c(\xi_u) + \xi_u(\tau))d\tau.$$

By Arzelá-Ascoli Theorem, it is easy to see that  $\mathcal{T}$  is compact. Moreover, if  $u = \sigma \mathcal{T} u$  for some  $\sigma \in (0, 1]$  we obtain for  $v = \frac{u}{\sigma}$ :

$$(\phi(v'))' - \sigma \lambda v = \theta, \qquad v(0) = v(T) = s$$

Let  $\varphi$  be defined by

$$\varphi(t) = s + \int_0^t \phi^{-1} (c(\xi_0) + \xi_0)$$

then a simple computation shows that

$$\|v - \varphi\|_{L^2} \le \|\varphi\|_{L^2}$$

and hence  $\|(\phi(v'))'\|_{L^2} \leq C$  for some constant C. Take  $t_0$  such that  $v'(t_0) = 0$ , then

$$|\phi(v'(t))| \le |\phi(0)| + \int_{t_0}^t |(\phi(v'))'| \le |\phi(0)| + T^{1/2}C$$

and hence  $||v||_{\infty} \leq \tilde{C}$  for some constant  $\tilde{C}$ . By Leray-Schauder Theorem, there exists  $u_s$  such that

$$(\phi(u'_s))' - \lambda u_s = \theta, \qquad u_s(0) = u_s(T) = s.$$

By monotonicity of  $\phi$  it is immediate to prove that  $u_s$  is unique. Moreover, a simple computation proves that the mapping  $s \to u_s$  is continuous for the C([0, T])-norm. For s > 0 large enough, note that if  $u_s(t_0) > s$  is maximum, we have that  $u'_s$  is strictly nondecreasing in a neighborhood of  $t_0$ , a contradiction. Thus,  $u_s(T) - u_s(0) \ge 0$ . In the same way it follows that  $u_s(T) - u_s(0) \le 0$  for s << 0, and the existence of a T-periodic solution follows. Uniqueness follows immediately from the monotonicity of  $\phi$ . Furthermore, if  $u_1$  and  $u_2$  are T-periodic with

$$(\phi(u_i'))' - \lambda u_i = \theta_i(t),$$

then

$$-\int_0^T (\theta_1 - \theta_2)(u_1 - u_2) = \int_0^T [\phi(u_1') - \phi(u_2')](u_1' - u_2') + \lambda \int_0^T (u_1 - u_2)^2.$$

It follows that

$$||u_1 - u_2||_{L^2} \le \frac{1}{\lambda} ||\theta_1 - \theta_2||_{L^2}$$

and hence

$$\|(\phi(u_1'))' - (\phi(u_2'))'\|_{L^2} \le 2\|\theta_1 - \theta_2\|_{L^2}$$

Thus,

$$\|\phi(u_1') - \phi(u_2')\|_{\infty} \le c \|\theta_1 - \theta_2\|_{L^2}$$

for some constant c and the compactness of the mapping  $\theta \to u$  follows.

**Lemma 6.** Assume that  $\phi$  is nondecreasing and let  $\lambda > 0$ . Let u, v be T-periodic functions such that  $(\phi(u'))' - \lambda u \ge (\phi(v'))' - \lambda v$ . Then  $u \le v$ .

**Proof.** Let  $t_0$  be the absolute maximum of u - v, and suppose that  $u(t_0) > v(t_0)$ . Then  $u'(t_0) = v'(t_0)$ , and  $\varphi(t) = \phi(u'(t)) - \phi(v'(t))$  is strictly nondecreasing in a neighborhood U of  $t_0$  in [0, T]. As  $\phi(u'(t_0)) - \phi(v'(t_0)) = 0$ , we obtain that

$$\phi(u'(t)) < \phi(v'(t)) \text{ for } t \in U, t < t_0$$

and

$$\phi(u'(t)) > \phi(v'(t)) \text{ for } t \in U, t > t_0.$$

This implies that u - v has a local minimum in  $t_0$ , a contradiction.

**Theorem 7.** Let  $\phi$  be nondecreasing and assume there exist *T*-periodic functions  $\alpha \leq \beta$  such that

$$(\phi(\alpha'))' + g(t,\alpha) \ge f(t) \ge (\phi(\beta'))' + g(t,\beta).$$

Further, assume there exists a constant R > 0 such that

$$\frac{g(t,u) - g(t,v)}{u - v} \ge -F$$

for any u, v such that  $\inf_t \alpha(t) \leq v < u \leq \sup_t \beta$ . Then the problem

(Per) 
$$\begin{cases} (\phi(u'))' + g(t, u) = f(t) & in \quad (0, T) \\ u(0) = u(T), \quad u'(0) = u'(T) \end{cases}$$

admits at least one solution u such that  $\alpha \leq u \leq \beta$ .

**Proof.** Let  $E = \{u \in C([0,T]) : \alpha \le u \le \beta\}$  and fix a constant  $\lambda > R$ . For fixed  $\overline{u} \in E$  define  $T\overline{u} = u$  the unique periodic solution of the equation

$$(\phi(u'))' - \lambda u = f - g(\cdot, \overline{u}) - \lambda \overline{u}.$$

By Lemma 5,  $\mathcal{T}:E\to C([0,T])$  is well defined and compact. Moreover, as  $\overline{u}\leq\beta$  we have that

$$(\phi(u'))' - \lambda u = f - (g(\cdot, \overline{u}) + \lambda \overline{u}) \ge f - (g(\cdot, \beta) + \lambda \beta).$$

Hence,  $(\phi(u'))' - (\phi(\beta'))' - \lambda(u - \beta) \ge 0$ , and by Lemma 6 it follows that  $u \le \beta$ . In the same way we conclude that  $u \ge \alpha$  and the result follows by Schauder Theorem.

Example: the forced pendulum equation for a p-Laplacian

$$(|u'|^{p-2}u')' + \sin_p(u) = f(t)$$

admits a *T*-periodic solution for any forcing term f such that  $-1 \leq f \leq 1$ . Indeed, it suffices to take  $\alpha = \frac{\pi_p}{2}$  and  $\beta = \frac{3}{2}\pi_p$ .

As a simple corollary we have:

**Corollary 8.** Under the conditions of the previous theorem, let  $\lambda > R$  and define the sequences  $\{u_n^{\pm}\}$  given by

$$u_0^- = \alpha, \qquad \qquad u_0^+ = \beta$$

and  $u_{n+1}^{\pm}$  the unique T-periodic function such that

$$(\phi(u_{n+1}^{\pm \prime}))' - \lambda u_{n+1}^{\pm} = f - g(\cdot, u_n^{\pm}) - \lambda u_n^{\pm}.$$

Then  $\{u_n^-\}$  (resp.  $\{u_n^+\}$ ) is a nondecreasing (nonincreasing) sequence of subsolutions (supersolutions) that converges pointwise to a solution of (Per). Moreover,  $u_n^- \leq u_n^+$  for every n.

**Proof.** From the proof of the previous theorem we have that  $\alpha \leq u_1^+ \leq \beta$ . Assume as inductive hypothesis that  $\alpha \leq u_n^+ \leq u_{n-1}^+$ , then  $u_{n+1}^+ \geq \alpha$ . Moreover,

$$(\phi(u_{n+1}^{+\prime}))' - \lambda u_{n+1}^{+} = f - (g(\cdot, u_{n}^{+}) + \lambda u_{n}^{+}) \ge f - (g(\cdot, u_{n-1}^{+}) + \lambda u_{n-1}^{+}) = = (\phi(u_{n}^{+\prime}))' - \lambda u_{n}^{+}$$

and it follows that  $u_{n+1}^+ \leq u_n^+$ .

As  $u_n^+$  is nonincreasing and bounded, it converges pointwise to a function  $u^+$ . Furthermore, from the proof of Lemma 5  $\{u_n^+\}$  is bounded for the  $H^1$ -norm, and from the compactness of the imbedding  $H^1(0,T) \hookrightarrow C([0,T])$  it is easy to see that  $u_n^+ \to u^+$  in C([0,T]). Using the definition of  $\{u_n^+\}$  it is immediate that  $u^+$  is a solution of the problem. The proof is analogous for  $\{u_n^-\}$ . Furthermore,

$$(\phi(u_{n+1}^{\pm \prime}))' + g(\cdot, u_{n+1}^{\pm}) = f + g(\cdot, u_{n+1}^{\pm}) - g(\cdot, u_n^{\pm}) + \lambda(u_{n+1}^{\pm} - u_n^{\pm}).$$

Thus, it is easy to prove by induction that  $u_n^+$  is a supersolution and  $u_n^-$  is a subsolution, with  $u_n^- \leq u_n^+$ .

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